

# Co Polyoxometalates and a $\text{Co}_3\text{O}_4$ Thin Film Investigated by L-Edge X-ray Absorption Spectroscopy

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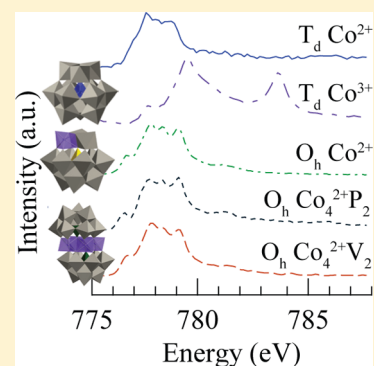
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## S Supporting Information

**ABSTRACT:** We have performed cobalt L-edge X-ray absorption spectroscopy (XAS) on important materials for photoactive catalysis, namely nanoscale cobalt polyoxometalates (Co POM) and a  $\text{Co}_3\text{O}_4$  thin film. A set of Co POM analogues were studied that vary according to the position and number of cobalts within the POM structure, metal valence state, oxygen ligand coordination geometry and heteroatom identity. Ligand field multiplet calculations simulate experimental XAS spectra in well-defined model systems provided by the Co POMs and extended to a  $\text{Co}_3\text{O}_4$  thin film, thereby characterizing atomic multiplet and ligand field effects, including the ligand field parameter, structural distortions, and electron–electron interactions for  $\text{Co}^{2+}$  and  $\text{Co}^{3+}$  ions in both  $O_h$  and  $T_d$  environments. The ligand field parameter,  $10Dq$ , is determined to within an accuracy of  $\pm 0.1$  eV, the spectra are sensitive to small structural distortions that further split d-levels (0.16 eV), and the strength of electron–electron interactions is found to within  $\pm 5\%$  of the atomic value. We also find that the electronic structure parameters and the XAS spectra do not vary among POMs with pronounced differences in catalytic activity, and therefore X-ray spectroscopies even more sensitive to the 3d electronic structure (such as resonant inelastic X-ray scattering (RIXS)) should be used to differentiate the more active catalysts.



## INTRODUCTION

Cobalt (Co) containing materials are used in a wide range of research fields, including spintronics, Li-ion batteries, and photoinitiated catalysis. For example,  $\text{ZnO}^{1,2}$  and  $\text{TiO}_2^{3-5}$  doped with small amounts of Co exhibit novel magnetic properties, such as room temperature ferromagnetism for spintronic applications and commercial applications of lithium-ion batteries routinely use Co-based electrodes.<sup>6,7</sup> Most importantly for this study, Co ions, such as in cobalt oxide spinel ( $\text{Co}_3\text{O}_4$ ) nanoparticles,<sup>8,9</sup> electrodeposited Co–phosphate,<sup>10</sup> and Co-based polyoxometalates (POMs),<sup>11,12</sup> have been shown to be active catalytic centers for water oxidation. These systems have emerged as some of the most promising for harnessing solar energy through artificial photosynthesis. Since the physical and chemical properties of Co can vary widely due to the variety of valence states and bonding environments in which Co can be stable, the characterization of these catalysts by X-rays, both *in situ* and *ex situ*, has been extensive. In particular, K-edge X-ray absorption spectroscopy (XAS) that excites an electron from the 1s Co orbital to the delocalized, sp unoccupied continuum has been able to identify the approximate oxidation state and local coordination environment of the Co-phosphate catalyst prior to and during catalytic water oxidation<sup>13</sup> and assess the stability of the homogeneous, soluble Co-based POMs.<sup>14,15</sup>

While these studies are informative of the local Co environment, it is largely the 3d electrons that give rise to the detailed electronic structure. The pre-edge peak of K-edge XAS can contain information about electron excitation from the 1s to the 3d Co orbital. However, the pre-edge peak of a Co K-edge XAS is broad and weak.<sup>13–15</sup> XAS at the metal  $L_{2,3}$ -edge excites  $2p^{63d^n} \rightarrow 2p^5 3d^{n+1}$  transitions (where n is the number of d-electrons in the ground state), thereby probing the unoccupied density of d states, and offering a powerful tool for electronic structure characterization. L-edge, or 2p, XAS is sensitive to the metal valence state, crystal field strength, ligand coordination geometry, and electron–electron interactions such as 2p–3d Coulomb and exchange integrals. With the aid of ligand field multiplet (LFM) calculations, one can analyze the electronic structure fingerprint that XAS spectra provide through the simulation of spectra consistent with observations.<sup>4,16–19</sup>

Co L-edge XAS of five closely related POMs and a  $\text{Co}_3\text{O}_4$  thin film that exhibit different activities for photocatalytic water oxidation are investigated. The POMs vary according to the number and position of Co sites within the POM structure, the

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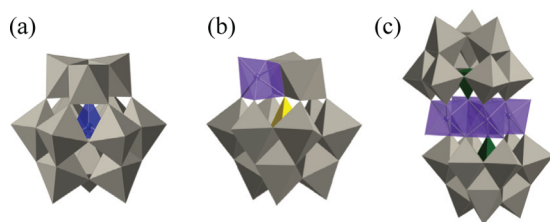
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Co valence state, the coordination geometry of oxygen ligands surrounding the Co center(s), and heteroatom identity. Of these, only the POMs with multiple Co sites within a single molecular structure are highly active water oxidation catalysts. Yin et al. reports a turnover frequency (TOF) of  $\geq 5 \text{ s}^{-1}$  for  $\text{Na}_{10}[\text{Co}_4(\text{H}_2\text{O})_2(\alpha\text{-PW}_9\text{O}_{34})_2] \cdot n\text{H}_2\text{O}$  while Lv et al. found  $\text{Na}_{10}[\text{Co}_4(\text{H}_2\text{O})_2(\text{VW}_9\text{O}_{34})_2] \cdot 35\text{H}_2\text{O}$  has a TOF of  $>1000 \text{ s}^{-1}$  under conditions noted within references.<sup>11,12</sup> The other three POMs do not exhibit any catalytic activity. Despite the large differences in water oxidation activity within this set of POMs, the electronic structure of the Co ions could not be directly correlated with catalytic activity. However, well-defined valencies, crystal field strengths, electronic-electronic interaction parameters, and structural distortions are determined with a high degree of accuracy (e.g., ligand field parameters to within  $\pm 0.1 \text{ eV}$ ) due to the model, homogeneous POM systems. Prior Co XAS studies have been limited by the heterogeneous sample environment of Co centers. That is, Co ions may exist in a mix of substitutional and interstitial sites due to structural defects, such as oxygen and metal vacancies, or Co may phase separate to form metallic Co clusters.<sup>3–5</sup> The trends identified from the well-characterized POMs allow for simulation of a heterogeneous  $\text{Co}_3\text{O}_4$  thin film. Such studies set the stage for investigating Co-based catalysts by *in situ* L-edge XAS and highlight the necessity for X-ray techniques even more sensitive to electronic structure (e.g., resonant inelastic X-ray scattering (RIXS)), that could relate their differences in catalytic activity to ground state electronic structure.

## EXPERIMENTAL SECTION

### Materials Preparation and Characterization. Co POMs.

All reagents were purchased as analytical or reagent grade and used as received. Syntheses were performed in ambient atmospheric conditions.  $\text{K}_3\text{H}[\text{Co}^{\text{II}}\text{W}_{12}\text{O}_{40}] \cdot 15\text{H}_2\text{O}$ ,<sup>20</sup>  $\text{K}_5[\text{Co}^{\text{III}}\text{W}_{12}\text{O}_{40}] \cdot 20\text{H}_2\text{O}$ ,<sup>20</sup>  $\text{K}_6[\text{SiCo}^{\text{II}}(\text{H}_2\text{O})\text{W}_{11}\text{O}_{39}] \cdot 10\text{H}_2\text{O}$ <sup>21</sup> and  $\text{Na}_{10}[\text{Co}_4(\text{H}_2\text{O})_2(\text{RW}_9\text{O}_{34})_2] \cdot n\text{H}_2\text{O}$ <sup>11,12</sup> (where R represents phosphorus (P) or vanadium (V)) were prepared based on published procedures. Using coordination geometries, number of Co's, valence states, and heteroatoms as identifiers, the POMs are labeled as  $T_d \text{ Co}^{2+}$ ,  $T_d \text{ Co}^{3+}$ ,  $O_h \text{ Co}^{2+}$ ,  $O_h \text{ Co}^{2+}\text{P}_2$ , and  $O_h \text{ Co}^{2+}\text{V}_2$ , respectively, where  $T_d$  denotes a tetrahedral geometry and  $O_h$  denotes an octahedral geometry. Representations of these POMs are shown in Figure 1. Each analogue was purified by recrystallization prior to use. Tetrahedral analogues were further purified using Dowex 50WX8 ion-exchange resin conditioned in the  $\text{K}^+$  cycle prior to



**Figure 1.** Polyhedral representations of POM analogues showing location and number of Co tetrahedra (blue), Co octahedra (purple), and tungstate octahedra (gray). Analogues studied include (a)  $T_d \text{ Co}$  in a  $2^+$  or  $3^+$  oxidation state (b)  $O_h \text{ Co}^{2+}$  with a neighboring silicon heteroatom (yellow), and (c) four  $O_h \text{ Co}^{2+}$  centers in the POM core with neighboring phosphorus or vanadium heteroatoms (green).

recrystallization.<sup>22</sup> The identities and purities of each compound were confirmed with UV–visible and infrared spectroscopy. Infrared spectra (2% by weight in KBr) were recorded on a Nicolet 6700 FT-IR spectrometer. Electronic absorption spectra were acquired using an Agilent 8453 spectrophotometer equipped with a diode-array detector and Agilent 89090A cell temperature controller unit. The UV–visible–near-infrared spectra were acquired using a Shimadzu UV-2600 spectrophotometer. UV–visible and infrared spectra are given in Supporting Information (Supporting Figures 1 and 2).

**$\text{Co}_3\text{O}_4$  Thin Film.**  $\text{Co}_3\text{O}_4$  films were deposited on  $\text{SiO}_2$  substrates by DC reactive magnetron sputtering (AJA International) from a 2" Co target with 99.95% purity at a working power of 40 W. A total pressure of 5 mTorr was used with a ratio of 20:2 sccm  $\text{Ar}:\text{O}_2$  at a growth temperature of 600 °C. Film thickness of  $\sim 200 \text{ nm}$  was obtained based on a deposition rate of  $0.342 \text{ \AA s}^{-1}$  calculated from the quartz crystal monitor. After deposition, the  $\text{Co}_3\text{O}_4$  film was postannealed for 6 h at 700 °C in 1 atm  $\text{O}_2$ . Structural analysis was determined using power X-ray diffraction (XRD) from a Siemens D500 Diffractometer with Cu  $K\alpha$  radiation and Raman spectroscopy. The Raman spectrum was obtained from a macro-Raman system with a 488 nm Ar ion laser and triple spectrometer using a 0.6 m dispersion stage with a resolution of  $\sim 4 \text{ cm}^{-1}$ . XRD patterns and Raman spectrum, given in Supporting Information (Supp. Figure 3.), confirm polycrystalline  $\text{Co}_3\text{O}_4$  thin films. These sputtered films typically have structural defects due to  $\sim 1\%$  Co vacancies and a p-doping of  $\sim 10^{20} \text{ cm}^{-3}$ .<sup>23</sup>

**X-ray Absorption Spectroscopy.** XAS measurement: Co POM crystals were spread directly onto conductive copper or carbon-based adhesive tape or pressed onto indium foil and affixed to a specially designed beamline sample holder for X-ray analysis. The  $\text{Co}_3\text{O}_4$  thin film on  $\text{SiO}_2$  was also attached to the beamline sample holder with adhesive tape. A drop of conductive silver paste at the sample edge and holder supported conductivity across assembly. A cobalt foil reference was used for energy calibration.<sup>24</sup>

XAS experiments were performed at beamlines 7.0.1 and 8.0.1 at the Advanced Light Source (ALS) at Lawrence Berkeley National Laboratory. The X-ray absorption process was measured using total electron yield (TEY) and total fluorescence yield (TFY) detection modes. The TEY signal originates from the cascade of secondary electrons created upon relaxation of the excited core-level electron, while TFY arises from the emission of a fluorescent X-ray photon upon relaxation. TEY was collected by measuring the sample drain current, and the TFY signal was acquired using a channeltron detector equipped with a negatively biased grid to repel electrons ejected from the sample. The inelastic mean free path of electrons and photons determines the experimental probing depth, rendering TEY more surface sensitive and TFY more bulk sensitive. LFM calculations are more representative of TEY detected spectra, since saturation effects can complicate TFY spectra as suggested previously.<sup>25,26</sup> Therefore, TEY spectra are primarily reported, and only TFY is used when bulk sensitivity is required. The sample was positioned at  $\sim 45^\circ$  to the incident X-ray beam with a  $\sim 30^\circ$  angle between X-ray beam and channeltron detector. The analysis chamber was kept lower than  $2.0 \times 10^{-8} \text{ Torr}$  during data acquisition. All spectra were normalized by  $I_0$ , the incident X-ray beam current as detected by gold foil upstream from the sample analysis chamber. Data was collected using beamline specific software and processed

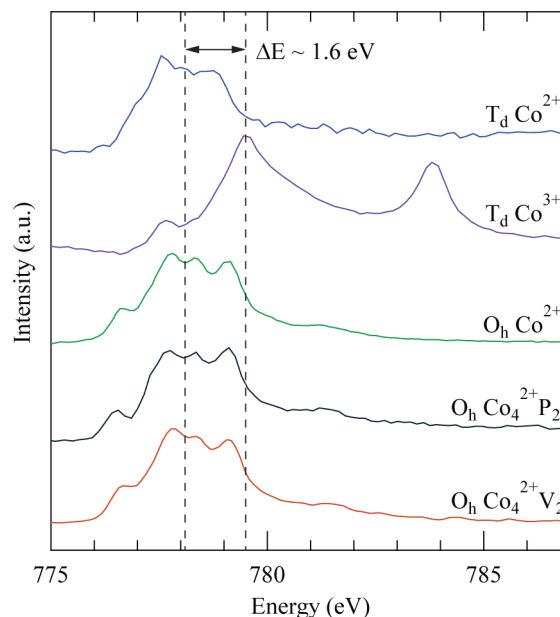
using custom panels in the Wavemetrics, Inc. Igor Pro 6.22A software.

**Ligand Field Multiplet Calculations.** LFM theory was used to simulate experimental Co  $L_{2,3}$ -edge XAS spectra. The 2p XAS process corresponds to  $2p^63d^7 \rightarrow 2p^53d^8$  and  $2p^63d^6 \rightarrow 2p^53d^7$  transitions for  $\text{Co}^{2+}$  and  $\text{Co}^{3+}$ , respectively. Spectra were calculated with the aid of CTM4XAS and CTM4RIXS,<sup>27</sup> a freeware interface version of the Cowan–Butler–Thole code as developed by Thole et al.<sup>28–30</sup> XAS spectra were calculated to reproduce experimental TEY spectra while a linear combination of 25% XAS and 75% RIXS spectra were used to reproduce experimental TFY spectra. Simulated XAS spectra take into account atomic multiplet effects, which determine how the molecular nature of the compound affects the XAS spectra. Atomic multiplet effects are included by a reduction of the Slater integrals from their atomic values, introducing a ligand field, and adding charge transfer processes as needed. The Slater integrals represent the Coulomb repulsion between the core and 3d electrons ( $\langle 2p3d1/r_{1,2}|2p3d \rangle$  ( $F_{pd}$ ), the Coulomb repulsion of the 3d electrons ( $\langle 3d3d1/r_{1,2}|3d3d \rangle$  ( $F_{dd}$ ) and the exchange ( $\langle 2p3d1/r_{1,2}|3d2p \rangle$  ( $G_{pd}$ ) integrals in the presence of a core hole. Atomic values were used for core spin–orbit coupling,  $\xi_{2p}$ , but were reduced from atomic values for the valence spin–orbit coupling,  $\xi_{3d}$ , depending on the spin of the valence electrons. The ligand field is parametrized by  $10Dq$  values, ligand field symmetry, and axial distortion,  $D_s$ . To reproduce experimental spectra, it was unnecessary to explicitly include charge transfer states; rather, such effects were implicitly accounted for by reduction of the Slater integrals. As the calculations do not result in an absolute energy value, simulated spectra are shifted to align with experimental spectra. Calculations are done at 300 K, and the resulting spectra are broadened to account for core-hole lifetimes and experimental resolutions, represented by Lorentzian,  $\Gamma$ , and Gaussian,  $G$ , functions, respectively. For this, we use half-width half-maximum values of  $\Gamma(L_3) = 0.2$  eV,  $\Gamma(L_2) = 0.4$  eV, and  $G = 0.15$  eV.

## RESULTS AND DISCUSSION

Experimental Co L-edge XAS spectra for 5 POM analogues (representations in Figure 1) are shown in Figure 2; analogues vary according to the number and position of Co sites within the POM structure (1 or 4 cobalt(s)), the Co valence state ( $2^+$  or  $3^+$ ), coordinating geometry ( $O_h$  or  $T_d$ ), and the identity of the heteroatom (e.g., Si, P, or V). The Co L-edge is split into two peaks due to core-level spin–orbit coupling ( $\xi_{2p}$ ): the lower energy  $L_3$  peak ( $2p_{3/2} \rightarrow 3d$ ) and higher energy  $L_2$  peak ( $2p_{1/2} \rightarrow 3d$ ). The splitting is set by the atomic value of  $\xi_{2p}$  for all the compounds studied. It is the  $L_3$  edge, shown in Figure 2, that gets split prominently by electron–electron interactions that give rise to a multiplet structure.

Furthermore, the multiplet structure of the  $L_3$  edge depends distinctly on the coordination of the Co center. For example, the TEY spectrum of  $O_h \text{Co}^{2+}$  has a low energy shoulder at 776.4 eV not present in the  $T_d \text{Co}^{2+}$  case. Note from the similarity of the  $L_3$  structure that L-edge XAS is not sensitive enough to detect differences in the electronic structure due to the heteroatom (P or V) or to the number of Co sites (1 or 4) within the POM structure. Rather, the Co valence state and coordination geometry define the multiplet structure of  $L_3$ . Figure 3 shows LFM simulated spectra of  $\text{Co}^{2+}$  and  $\text{Co}^{3+}$  in both  $O_h$  and  $T_d$  environments compared with experimental  $L_3$  XAS spectra of a  $\text{Co}_3\text{O}_4$  thin film (Figure 3a),  $O_h \text{Co}^{2+}$  POM



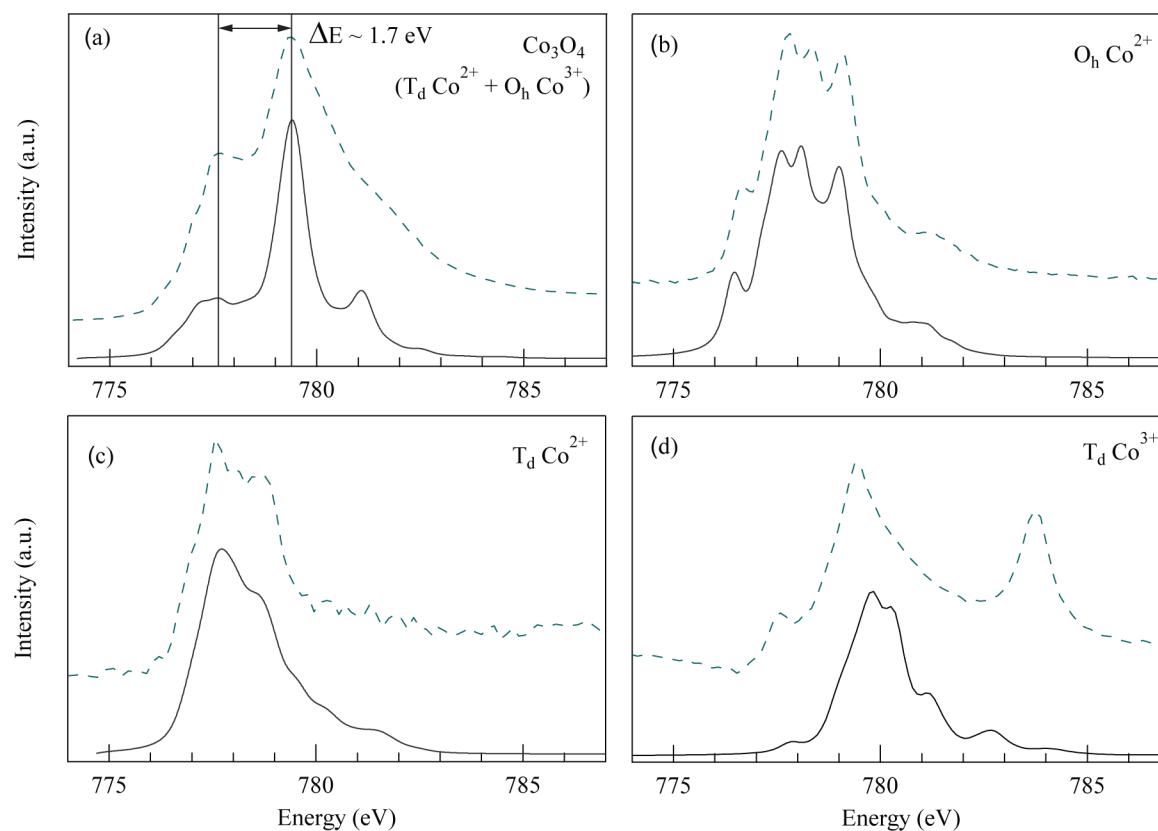
**Figure 2.** Experimental Co  $2p_{3/2}$  XAS spectra of POM analogues for  $T_d \text{Co}^{2+}$ ,  $T_d \text{Co}^{3+}$ ,  $O_h \text{Co}^{2+}$ ,  $O_h \text{Co}_4^{2+}\text{P}_2$ , and  $O_h \text{Co}_4^{2+}\text{V}_2$ . All spectra are based on TEY detection except for the one in part d for  $T_d \text{Co}^{3+}$  which is from TFY detection. Because of the highly oxidizing  $T_d \text{Co}^{3+}$  POM oxidizing the surface, TEY was not representative of the bulk structure.

(Figure 3b),  $T_d \text{Co}^{2+}$  POM (Figure 3c), and  $T_d \text{Co}^{3+}$  POM (Figure 3d). The parameters varied, namely the crystal field parameters,  $10Dq$  and  $D_s$ , the Slater integral reduction (SIR), and the valence spin orbit coupling reduction (VSOCR) are shown in Table 1. The Co  $2p_{3/2}$  XAS spectrum of a reference bulk CoO compound is included in Supporting Information (Supporting Figure 4). There, it is compared directly with the Co  $2p_{3/2}$  XAS spectrum of  $O_h \text{Co}^{2+}$  POM and the LFM simulated spectrum.

For all but one of the samples, TEY spectra are collected; however, for  $T_d \text{Co}^{3+}$  POM, reduction of the surface Co centers to  $\text{Co}^{2+}$  necessitated collection by TFY. Comparison of the TEY and TFY spectra for the  $T_d \text{Co}^{3+}$  POM are provided in Supporting Information (Supporting Figure 5).

**Valence State.** The valence state of the Co ion is determined experimentally and used as an input into the LFM calculations below. The effect of the valence state on the absorption energy cannot be determined theoretically with precision using ligand field models because screening effects are not included correctly. The valence state is determined experimentally by the approximate peak center of the  $L_3$  edge for two Co ions in the same coordination geometry. As shown in Figure 2,  $T_d \text{Co}^{3+}$  occurs  $\sim 1.6$  eV higher in energy than  $T_d \text{Co}^{2+}$ . This is consistent with previous reports on energy shift per valence state change found for 3d transition metal oxides.<sup>31,32</sup> To provide another example, the experimental Co 2p XAS of a  $\text{Co}_3\text{O}_4$  thin film is shown in Figure 3a. Cobalt oxide has a spinel structure consisting of  $\text{Co}^{2+}$  and  $\text{Co}^{3+}$  in  $T_d$  and  $O_h$  sites, respectively. The pronounced  $L_3$  doublet peak structure is assigned to these oxidation states with  $\text{Co}^{2+}$  at 777.7 eV and  $\text{Co}^{3+}$  at 779.4 eV; this again gives a 1.7 eV energy shift with valence state.

**Ligand Field and Structural Distortions.** The values of ligand field parameter,  $10Dq$ , applied to the calculated spectra shown in Figure 3 are given in Table 1. A negative  $10Dq$  value



**Figure 3.** Cobalt  $2p_{3/2}$  XAS spectra of (a)  $\text{Co}_3\text{O}_4$  thin film (dashed line) and LFM calculated spectrum (solid line). The simulated spectrum is created by a stoichiometric combination of high-spin ( $10Dq = 0.75$  eV)  $T_d \text{Co}^{2+}$  and low-spin ( $10Dq = 1.8$  eV)  $O_h \text{Co}^{3+}$  spectra, each normalized to the integrated intensity of allowed transitions related to the number of 3d holes in the ground state. Experimental (dashed line) and LFM calculated (solid line) cobalt  $2p_{3/2}$  XAS spectra of (b)  $O_h \text{Co}^{2+}$  POM, (c)  $T_d \text{Co}^{2+}$  POM, and (d)  $T_d \text{Co}^{3+}$  POM. All spectra are based on TEY detection except for the one in part d for  $T_d \text{Co}^{3+}$ , which is based on TFY detection. Because of the highly oxidizing  $T_d \text{Co}^{3+}$  POM oxidizing the surface, TEY was not representative of the bulk structure.

**Table 1. Electronic Structure Parameters Used for Fitting L-Edge Absorption Spectra for Select Absorbers**

absorber	final state $10Dq$ (eV)	SIR <sup>a</sup> (%) ( $F_{d\uparrow}$ , $F_{p\downarrow}$ , $G_{pd}$ )	VSO CR <sup>b</sup> (%)
$T_d \text{Co}^{2+}$ (POM)	$-0.7 \pm 0.1$	$0.9 \pm 0.05$	1.0
$T_d \text{Co}^{3+}$ (POM)	-0.8	0.8	0.0
$O_h \text{Co}^{2+}$ (POM)	$0.8 \pm 0.1$ ( $4Ds = 0.16$ )	$0.9 \pm 0.05$	0.7
$T_d \text{Co}^{2+}$ ( $\text{Co}_3\text{O}_4$ )	-0.75	0.9	1.0
$O_h \text{Co}^{3+}$ ( $\text{Co}_3\text{O}_4$ )	1.8	0.7	1.0

<sup>a</sup>Slater integral reduction. <sup>b</sup>Valence spin-orbit coupling reduction.

for the  $T_d$  structures indicates d-orbital splitting, with a lower energy ( $e$ ) and higher energy ( $t_2$ ) set, that is reversed from the  $O_h$  case (where  $t_{2g}$  is lower and  $e_g$  is higher). The value of  $10Dq$  increases for  $O_h$  structures relative to  $T_d$  due to the larger number of ligand atoms (6 versus 4), though the increase is mitigated by the longer metal–oxygen distances in an  $O_h$  geometry that also reduces the  $10Dq$ . An increase in metal oxidation state also indicates a stronger bond with the oxygen ligands, and therefore increases  $10Dq$ , reflected in the larger value of  $10Dq = 1.8$  eV for  $O_h \text{Co}^{3+}$  in  $\text{Co}_3\text{O}_4$  vs  $10Dq = 0.8$  eV  $O_h \text{Co}^{2+}$  POM. These markedly different ligand field splittings result in a low-spin electron configuration for  $\text{Co}^{3+}$  in  $\text{Co}_3\text{O}_4$  and a high-spin configuration for the  $O_h \text{Co}^{2+}$  POM.

In XAS experiments, it is the final state  $10Dq$  value that dictates spectral shape. The magnitude of the X-ray  $10Dq$  is

routinely found to be less than the UV–vis  $10Dq$ , which measures the ground state  $10Dq$ .<sup>31</sup> The difference is due to the presence of a core hole in the final state for the X-ray absorption process, which is understood to increase localization of metal d orbitals and thus decrease the magnitude of the energy splitting. For example, in the  $O_h \text{Co}^{2+}$  case, the optical  $10Dq$  value is 1.0 eV while the X-ray  $10Dq$  was determined to be 0.8 eV. Optical  $10Dq$  values were calculated using the Tanabe-Sugano diagram.<sup>33</sup> The UV–vis–near-infrared spectra for select POM analogues are included in Supporting Information (Supporting Figure 2).

The inclusion of a small axial distortion,  $Ds = 0.04$  eV, was necessary to reproduce the shoulder (781.3 eV) on the high energy side of  $L_3$  for the  $O_h \text{Co}^{2+}$  ion. This distortion reduces the symmetry from  $O_h$  to  $D_{4h}$  and is consistent with X-ray crystallography studies of POM structure.<sup>34,35</sup> The  $Ds$  parameter corresponds to an elongation of the  $z$ -axis, yielding an energy difference between the  $d_z^2$  and  $d_{x^2-y^2}$  orbital of  $4Ds$ , or 0.16 eV. In agreement with previous X-ray structural studies, it was not necessary to introduce a distortion for the  $T_d \text{Co}^{2+}$  POM.<sup>36,37</sup>

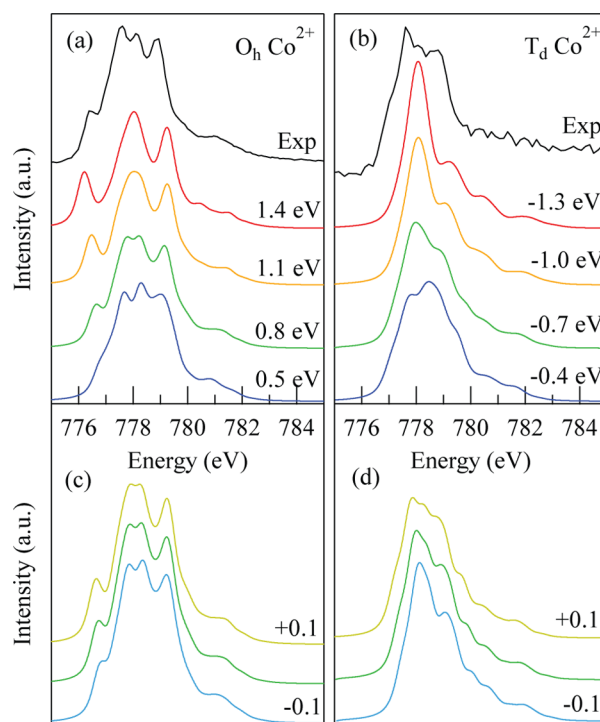
While the  $O_h \text{Co}^{2+}$  POM and the  $T_d \text{Co}^{2+}$  POM agree very well with their simulated spectrum, deviations are visible in the  $T_d \text{Co}^{3+}$  POM and thin film  $\text{Co}_3\text{O}_4$ . In particular, for the  $T_d \text{Co}^{3+}$  POM the shoulder at 778 eV is more pronounced in the experiment. Potentially, this can be attributed to the reduction of surface Co atoms that dominate the TEY spectrum, and appear in the bulk TFY spectrum. The shoulder is at the same

energy as the sharp peak in the multiplet structure of the reduced TEY spectrum shown in Supporting Figure 5. While the TEY spectrum are clearly indicative of a reduced Co center, they are not equivalent to the  $T_d$   $\text{Co}^{2+}$  POM. Therefore, a simulated addition of two Co  $T_d$  centers with different oxidation states cannot be used to provide a better agreement and thereby quantify the extent of Co metal center reduction. The peak located at  $\sim 784$  eV consistently appears in the TFY spectra of the POMs and not the TEY. Others have also observed this fluorescent peak at both the ALS and European Synchrotron Radiation Facility and previously assigned it as originating from charge transfer satellites or cobalt-acetate residues.<sup>17,38</sup> Recent experiments show this peak also appears prominently in systems with no cobalt, ruling out a cobalt-sample related assignment.

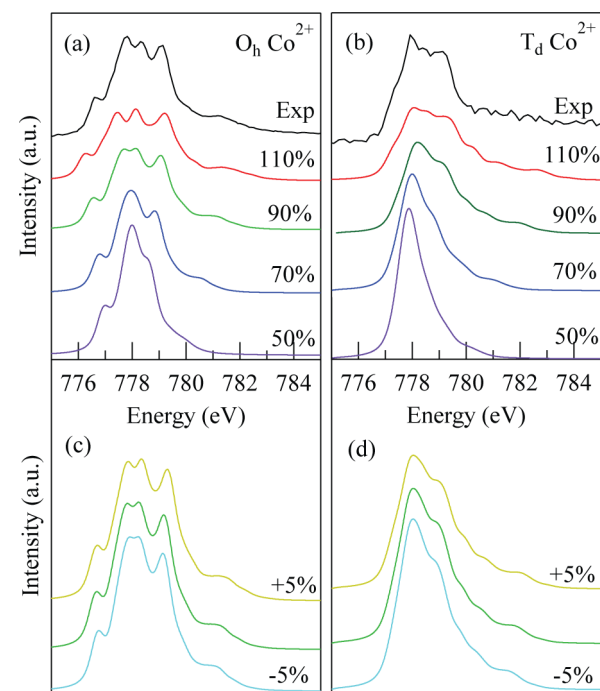
For thin film  $\text{Co}_3\text{O}_4$ , the contribution of  $T_d$   $\text{Co}^{2+}$  to the simulation, when included with a stoichiometric ratio of  $\text{Co}^{2+}$  to  $\text{Co}^{3+}$ , is smaller than suggested by experiment. Deviations in the  $\text{Co}_3\text{O}_4$  thin film likely involve site-mixing due to the Co vacancies that introduce structural distortions, where  $O_h$   $\text{Co}^{2+}$  and  $T_d$   $\text{Co}^{3+}$  states also exist.<sup>39,40</sup> Indeed the greater contribution to the low energy peak at 777.7 eV could be due to mixing of  $O_h/T_d$  Co contributions for both the  $2^+$  and  $3^+$  valence states, since according to the homogeneous POMs, these two coordination geometries are centered at approximately the same energy for a given valence state (e.g., Figure 3b,c). However, even a simulation considering this mixing does not fully account for the observed experimental spectrum, and suggests that other effects should be included to describe the heterogeneity of the thin film.

Ligand field  $10Dq$  values are further justified by showing changes in calculated spectra as a function of this parameter (Figure 4) and compared with experimental spectra for  $O_h$   $\text{Co}^{2+}$  POM and  $T_d$   $\text{Co}^{2+}$  POM.  $10Dq$  is varied from 0.5–1.4 eV in 0.3 eV steps while the remaining parameter values (discussed below) are kept constant. In the  $O_h$   $\text{Co}^{2+}$  case, large changes in peak shape arise due to the transition from the high spin to low spin configuration. From Figure 4a, one can see that a  $10Dq$  value around 0.8 eV is consistent with the experimental spectrum of  $O_h$   $\text{Co}^{2+}$ . Small step sizes of 0.1 eV around this value produce appreciable changes in the spectrum (Figure 4c), such that  $10Dq = 0.8$  eV to within  $\pm 0.1$  eV can be reported. Similarly,  $10Dq = -0.7$  eV to within  $\pm 0.1$  eV agrees with the experimental  $T_d$   $\text{Co}^{2+}$  (Figure 4b,d).

**Electron–Electron Interactions: Slater Integral Reduction and Valence Spin–Orbit.** As shown in Table 1, for all the compounds studied a reduction of the Slater integrals is required, with 90% of the atomic value for  $\text{Co}^{2+}$  absorbers and 70–80% for  $\text{Co}^{3+}$  absorbers. Reducing the Slater parameters can be understood as simulating an increased d-electron delocalization, or covalency, that occurs in going from a free ion to a complex; this is commonly described as the nephelauxetic effect in transition metal complexes.<sup>41,42</sup> The degree of covalency, and thus SIR, becomes more significant as the oxidation state of the metal center increases. As above with  $10Dq$ , further justification for SIR values is provided by varying them from 50% - 110% in 20% steps (Figure 5) for the  $O_h$   $\text{Co}^{2+}$  and  $T_d$   $\text{Co}^{2+}$  cases. In general, as the reduction increases and the separation between atomic multiplet states becomes smaller, the  $L_3$  peak splitting decreases and the edge becomes centered around a single distinct peak. Judging by the spectra in Figure 5a,b, in both cases a SIR value of around 90% is a reasonable choice for the  $2^+$  valence state. Slater integrals



**Figure 4.** (a)  $O_h$  and (b)  $T_d$   $\text{Co}^{2+}$  spectra calculated at a series of crystal field splitting parameters ( $10Dq$ ). (c and d) Spectra for small step sizes with  $10Dq$  values  $\pm 1$  eV from 0.8 and  $-0.7$  eV, respectively. All other electronic structure parameters are held constant.



**Figure 5.** (a)  $O_h$  and (b)  $T_d$   $\text{Co}^{2+}$  spectra calculated at a series of Slater integral reduction values (%  $F_{dd}$ ,  $F_{pdd}$ ,  $G_{pd}$ ). (c and d) Spectra for small step sizes with Slater integral reduction values  $\pm 5\%$  from 90%. All other electronic structure parameters are held constant.

reduced by  $\pm 5\%$  around 90% provide an error bar for visual inspection (Figure 5c,d).

The last parameter to be discussed is the valence spin–orbit coupling reduction, or VSOCR. Reduction of the 3d spin–orbit

coupling from its atomic value is due primarily to ligand field effects that change the d-orbital occupation, but can also come from delocalization effects. In cases such as  $T_d$   $\text{Co}^{2+}$  and low-spin  $O_h$   $\text{Co}^{3+}$ , where the ground state electronic configuration ( $e^4t_2^3$  and  $t_{2g}^6$ , respectively) has A symmetry, there is no difference in the spin–orbit coupling from the atomic state and this parameter can be left the same. However, the high-spin  $O_h$   $\text{Co}^{2+}$  case (Figure 3b) requires a reduction to 70% of the atomic value, due to a half-filled  $t_{2g}$  orbital that does change the d-orbital occupation. This is consistent with previous values used to calculate spectra for CoO nanocrystals.<sup>16</sup> In contrast, a full quenching (0%) of the atomic value is necessary for  $T_d$   $\text{Co}^{3+}$  due to a change in the orbital occupation of the e orbitals.<sup>43,44</sup>

## CONCLUSIONS

We have characterized the electronic structure of Co centers in a set of nanoscale Co POMs and a  $\text{Co}_3\text{O}_4$  thin film using L-edge XAS. Spectra were analyzed by LFM theory to obtain the valence state, the strength of the ligand field through  $10Dq$ , the effect of structural distortions through  $D_s$ , and the degree of covalency through reduction of the Slater integrals. Together, these parameters depict the electronic structure of the unoccupied d-electron states of  $\text{Co}^{2+}$  and  $\text{Co}^{3+}$  in  $O_h$  and  $T_d$  environments within well-defined model systems. The work demonstrates the straightforward use of LFM theory and provides a benchmark for the many Co containing photocatalysts currently being investigated. While ab initio calculations of the L-edge are beyond the scope of current computational techniques, the multiplet structure of the  $L_3$  edge provides a fingerprint that differentiates the ground state electronic structure of Co-based catalysts. We also find that L-edge XAS is not sensitive enough to detect differences in electronic structure due to multiple Co atoms in similar local environments, or to heteroatoms in the Co atom's vicinity. However, the availability of these other Co sites in the POMs as well as the heteroatoms do significantly affect their catalytic activity. Therefore, the work also shows that even more sensitive X-ray techniques, such as RIXS, should be employed to detail the differences in the ground state electronic structure that could affect reactivity.

## ASSOCIATED CONTENT

### Supporting Information

Compound characterization data, IR and UV–vis electronic absorption spectra of five Co POMs. UV–vis–near-infrared electronic absorption spectra of select Co POMs, Raman spectrum and XRD patterns of a  $\text{Co}_3\text{O}_4$  thin film, Co  $2p_{3/2}$  XAS spectrum of a reference bulk CoO compound compared with  $O_h$   $\text{Co}^{2+}$  POM and LFM simulated spectra, and TEY and TFY Co  $2p_{3/2}$  XAS spectra for  $T_d$   $\text{Co}^{3+}$  POM. This material is available free of charge via the Internet at <http://pubs.acs.org>

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### Notes

The authors declare no competing financial interest.

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